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**UTILITY PATENT APPLICATION TRANSMITTAL**

(for Noncontinuing, Nonprovisional Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 70651

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional, noncontinuing patent application for:

Title: FREE-SPACE OPTICAL  
COMMUNICATION SYSTEM EMPLOYING  
WAVELENGTH CONVERSION

First Named Inventor or  
Appln. Identifier: Clark, et al.

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
 ) "Express Mail" Mailing Label Number  
 ) EL591660239 US  
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 ) Date of Deposit May 21, 2001  
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231  
 )  
 ) Carrie Merzbacher  
 ) (Typed or printed name of person mailing)  
 )  
 ) (Signature of person mailing)  
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(X) 46 pages of the specification (including claims and abstract) are enclosed.

(X) 1 page cover sheet

(X) 15 sheet(s) of drawings are enclosed.

( ) An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.

(X) The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).

( ) An Assignment(s) of the invention to \_\_\_\_\_, and cover sheet are enclosed.

( ) A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment(s) is enclosed.

( ) A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).

( ) Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).

( ) An Information Disclosure Statement is enclosed.

( ) A Form PTO-1449 is enclosed.

( ) \_\_\_\_\_ References (copies) listed on the Form PTO-1449 are enclosed.

(X) A Return Receipt Postcard is enclosed (MPEP §503).

( ) Priority of application number \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.

( ) A certified copy of the priority document is enclosed.

( ) A MicroFiche Computer Program (Appendix) is enclosed.

( ) A Nucleotide and/or Amino Acid Sequence Submission is enclosed.

( ) A Computer Readable Copy is enclosed.

( ) A Paper Copy (Identical to Computer Copy) is enclosed.

( ) A Statement Verifying Identity of above Copies is enclosed.

( ) The filing fee is calculated below:

Fee Calculation For Claims As Filed

(a) Basic Fee	\$ 710.00
(b) Independent Claims	<u>6</u> - <u>3</u> = <u>3</u> x \$ 80.00 = \$ <u>240.00</u>
(c) Total Claims	<u>50</u> - <u>20</u> = <u>30</u> x \$ 18.00 = \$ <u>540.00</u>
(d) Fee for Multiple Dependent Claims	\$270.00 \$ _____

Total Filing Fee \$1,490.00

( ) Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ \_\_\_\_\_

( ) A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.

( ) Charge \$\_\_\_\_\_ to Deposit Account No.

(X) The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.

( ) A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

( ) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. This sheet is filed in duplicate.

(X) Address all future communications to Customer Number 22242.



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May 21, 2001  
Date



A handwritten signature in black ink, appearing to read "RICHARD E. WAWRZYNIAK".

Richard E. Wawrzyniak  
Registration No. 36,048